UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address; COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 03/24/2010

# NOTICE OF ALLOWANCE AND FEE(S) DUE

33438 7590 03/24/2010 HAMILTON & TERRILE, LLP

HAMILTON & TERRILE, LLP P.O. BOX 203518 AUSTIN, TX 78720

EXAMINER				
RUDY, ANDREW J				
ART UNIT	PAPER NUMBER			
3687				

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/896,144	06/29/2001	Shari Gharavy	T00068	5432

TITLE OF INVENTION: METHOD AND APPARATUS FOR PERFORMING COLLECTIVE VALIDATION OF CREDENTIAL INFORMATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/24/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further c indicated unless correcte maintenance fee notificat	form should be used to correspondence including d below or directed officers.	or transmitting the Pater nerwise in E	ting the ISSI nt, advance o Block 1, by (						
CURRENT CORRESPONDENCE ADDRESS (Nose; Use Block 1 for any change of address)					Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
33438	7590 03/24	V2010						of Mailing or Transı	
HAMILTON & P.O. BOX 20351 AUSTIN, TX 78					I her State addre trans	abo cartify that thi	ie Gante	Transmittal is baing	deposited with the United class mail in an envelope above, or being facsimile te indicated below.
									(Depositor's name)
									(Signature)
									(Date)
APPLICATION NO.	FILING DATE			FIRST NAMED INVENTOR			ATTORNEY DOCKET NO. CONFIRMATION 1		CONFIRMATION NO.
09/896,144	06/29/2001			Shari Gharavy				T00068	5432
TITLE OF INVENTION:	METHOD AND APPA	ARATUS FO	OR PERFOR!	MING COLLECTIVE	VAL	IDATION OF CR	EDEN'	TIAL INFORMATION	I
APPLN, TYPE	SMALL ENTITY	ISSUE I	FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	E PEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1	1510	\$300		\$0		\$1810	06/24/2010
EXAMI	NER	ART	UNIT	CLASS-SUBCLASS					
RUDY, AN	DREW J	31	687	705-010000	_				
1. Change of corresponde	nce address or indicatio	n of "Fee Ac	ddress" (37	2. For printing on t	he pa	stent front page, lis	it		
CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			esnondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
				(2) the name of a single firm (having as a member a 2					
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			torm Customer	registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 3					
3. ASSIGNEE NAME AN									
PLEASE NOTE: Unle recordation as set forth	ss an assignee is ident in 37 CFR 3.11. Com	ified below, pletion of thi	no assignee is form is NO	data will appear on to T a substitute for filing	he pa gan a	tent. If an assign assignment.	ee is id	entified below, the do	cument has been filed for
(A) NAME OF ASSIC				(B) RESIDENCE: (C					
Please check the appropri	ate assignee category or	categories (	(will not be p	rinted on the patent):	۵	Individual 🗖 Co	orporati	on or other private gro	up entity 🗖 Government
4a. The following fee(s) a	re submitted:		4	b. Payment of Fee(s): (		se first reapply an	y prev	iously paid issue fee s	hown above)
Issue Fee	o small entity discount	normittad)		A check is enclos		Form PTO 2028	ic atta	chad	
Advance Order - #		erinited)		☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any					
				overpayment, to I	Depos	sit Account Numbe	r	(enclose ar	extra copy of this form).
<ol> <li>Change in Entity Stat</li> <li>Applicant claims</li> </ol>	us (from status indicate SMALL ENTITY stati		FR 1.27.	☐ b. Applicant is no	long	er claiming SMAI	L ENT	TTY status. See 37 CF	R 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the re									
Authorized Signature						Date			
Typed or printed name					Registration N	lo			
This collection of informs an application. Confident submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 2231	tion is required by 37 C iality is governed by 35 application form to the ons for reducing this bu reginia 22313-1450. DO 3-1450.	FR 1.311. T U.S.C. 122 USPTO. T rden, should NOT SEN	The information and 37 CFR ime will vary be sent to the DFEES OR	on is required to obtain 1.14. This collection i depending upon the e Chief Information O COMPLETED FORM	or re s esti indivi ffice S TC	etain a benefit by the imated to take 12 r idual case. Any co r, U.S. Patent and THIS ADDRESS	he publ ninutes mment Traden 5. SENI	ic which is to file (and to complete, including s on the amount of tin hark Office, U.S. Depa D TO: Commissioner f	by the USPTO to process g gathering, preparing, and we you require to complete riment of Commerce, P.O. or Patents, P.O. Box 1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

ess: COMMISSIONER FOR PATENTS P O Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 03/24/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/896,144	09/896,144 06/29/2001 Shari Gharavy		T00068	5432	
33438 7.	590 03/24/2010		EXAM	INER	
HAMILTON &	TERRILE, LLP	RUDY, A	NDREW J		
P.O. BOX 203518		ART UNIT PAPER NUMBER			
AUSTIN, TX 78720			3687		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)
09/896,144	GHARAVY, SHARI
Examiner	Art Unit
Andrew Joseph Rudy	3687

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable. PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative

- of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.
- This communication is responsive to the 23 February 2010 RCE.
- The allowed claim(s) is/are 1-6,9-17 and 27-33.
- Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - b) ☐ Some\* c) ☐ None of the: a)  $\square$  All
    - Certified copies of the priority documents have been received.
    - 2. 

      Certified copies of the priority documents have been received in Application No. \_\_\_\_
    - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received:

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date
    - (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

- 1. Notice of References Cited (PTO-892)
- Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08). Paper No./Mail Date
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- 6. T Interview Summary (PTO-413), Paper No./Mail Date
- 7. X Examiner's Amendment/Comment
- 8. T Examiner's Statement of Reasons for Allowance
- 9. ☐ Other

Application/Control Number: 09/896,144 Page 2

Art Unit: 3687

#### DETAILED ACTION

### Continued Examination Under 37 CFR 1.114

- 1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on February 23, 2010 has been entered.
- Applicant's Information Disclosure Statement (IDS) received February 23, 2010 is noted. The IDS has been reviewed. Note the attached IDS.
- Applicant previously cancelled claims 7, 8 and 24. Withdrawn claims 18-23, 25,
   and 34-51 were cancelled via Examiner's Amendment on November 23, 2009.

## Allowable Subject Matter

- 4. Claims 1-6, 9-17 and 27-33 are allowed.
- Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Joseph Rudy whose telephone number is 571-272-6789. The examiner can normally be reached on Monday thru Friday.

Art Unit: 3687

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew S. Gart can be reached on 571-272-3955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Andrew Joseph Rudy/

Primary Examiner, Art Unit 3687